

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: David O. Lewis, et al. : Date: June 19, 2001
Group Art Unit: Unassigned : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: Unassigned : Dept. 917, Bldg. 006-1
Filed: Herewith : 3605 Highway 52 North
Title: METHOD AND APPARATUS FOR : Rochester, MN 55901
UNIQUELY AND AUTHORITATIVELY
IDENTIFYING TANGIBLE OBJECTS

2

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

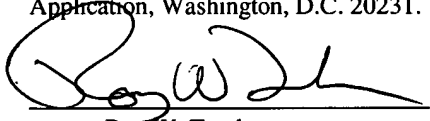
Serial No. (Unassigned)
Docket No. ROC9-2000-0168-US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

EXPRESS MAIL CERTIFICATE

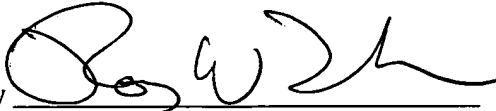
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Date: June 19, 2001

I certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.


Roy W. Truelson

Respectfully submitted,

DAVID O. LEWIS, et al.

By 
Roy W. Truelson

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Telephone: 1-507-289-6256

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENTATTY. DOCKET NO.
ROC9-2000-0168-US1

SERIAL NO. (Unassigned)

APPLICANT: David O. Lewis, et al.

(Use several sheets if necessary)

Page 1 of 1

FILING DATE: (Herewith)

GROUP: (Unassigned)

U.S. PTO
09/884636
06/19/01

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	AA	6	0	3	5	3	0	3	3/07/2000	Baer, et al.	707	103	
	AB	5	8	7	5	2	4	8	2/23/1999	Lewis	380	4	
	AC	5	7	3	4	8	1	9	3/31/1998	Lewis	395	186	
	AD	5	6	0	6	6	0	9	2/25/1997	Houser, et al.	380	4	
	AE	5	3	5	1	3	0	2	9/27/1994	Leighton, et al.	380	30	
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
	AL	8	3	2	8	4	7	0	12/13/1996	Japan	G09C1	00	Abstract only	
	AM													
	AN													
	AO													
	AP													

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	IBM Corp., "High Integrity Distributed Configuration Management", IBM Technical Disclosure Bulletin, Vol. 37, No. 9, September, 1994, pp. 453-7.											
	AS	IBM Corp., "Public-Key Enciphering/Deciphering Transformations Using a Conventional Algorithm", IBM Technical Disclosure Bulletin, Vol. 25, No. 3A, August, 1982, pp. 1241-9											
	AT												

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.